COURT OF APPEALS
STATE OF NEW YORK
THE PEOPLE OF THE STATE OF NEW YORK,
Respondent,
-against- NO. 35
WILLIAM A. WILKINS,
Appellant.
20 Eagle Street Albany, New York November 17, 2021
Before:
CHIEF JUDGE JANET DIFIORE ASSOCIATE JUDGE JENNY RIVERA
ASSOCIATE JUDGE EUGENE M. FAHEY
ASSOCIATE JUDGE MICHAEL J. GARCIA ASSOCIATE JUDGE ROWAN D. WILSON
ASSOCIATE JUDGE MADELINE SINGAS ASSOCIATE JUDGE ANTHONY CANNATARO
Appearances:
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Amanda M. Oliver Official Court Transcriber



CHIEF JUDGE DIFIORE: Appeal No. 35, the People of the State of New York v. Wilkins.

Counsel?

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MR. SHIFFRIN: May it please the Court, may I reserve two minutes for rebuttal, please.

CHIEF JUDGE DIFIORE: Two minutes, sir?

MR. SHIFFRIN: Please.

Mr. Wilkins did not impliedly waive his

Antommarchi rights violation when - - - when the court

first failed to clearly inform him that there had been a

violation of his right to be present at the sidebar

conferences or be conducted; second, failed to tell him

that the options with regard to that violation were for the

court to either declare a mistrial, conduct de novo

conferences, or for Mr. Wilkins to waive that violation

retroactively; and where the court failed to make any

inquiry of Mr. Wilkins regarding that violation what his

choices were of - - - with respect to those options.

The vague statements by the court and prospective-only questions do not provide a basis for this Court or any court to find that Mr. Wilkins knew that he was being asked whether he wished to waive retroactively the violation of the right to be present at those conferences. The burden was on the court that had first violated Mr. Wilkins' right to be present at those



conferences, and then was told that by - - - by the D.A. to 1 2 inform Mr. Wilkins of that, and - - - and again to inform 3 him of his choices, and elicit a response, elicit a choice. 4 The - - - even with respect to the prospective-5 only question that was asked of Mr. - - - Mr. Wilkins, he 6 reserved his right to go through the side or on a case-by-7 case basis. It was not only not a blanket waiver going 8 forward; it was not a waiver at all retroactively. 9 The People argue that the failure to object 10 constitutes an implied waiver. The reason that this Court has repeatedly rejected an objection requirement in 11 12 Antommarchi cases, despite the fact counsel presumably 13 always knows - - - always knows the relevant law, is that 14 the right involved, the right to be present, involves both 15 fundamental and personal to the defendant. Therefore, this 16 Court, over thirty years - - - or excuse me, it's twenty-17 nine years - - -18

JUDGE FAHEY: Mr. - - - Mr. Shiffrin, on - - - in an Antommarchi case, an implied waiver - - - there is such a thing as an implied waiver; you understand that?

MR. SHIFFRIN: Absolutely.

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JUDGE FAHEY: All right. And when does an implied waiver apply? What's your understanding of the law and when would it not apply?

MR. SHIFFRIN: The two cases that I found with



implied waiver of the right to be present from this Court
are People v. Spotford, cited and relied on by the People,
in which first there was an express waiver of an - - - of
an - - - of an Antommarchi hearing. But more importantly,
relevant here, after the hearing, the Court expressly
invited an objection, and there was no objection. He - so unlike this case, Mr. Spotford was made aware of his
choice, and he - - - by his silence, it was clear he chose
not - - not to object.
Similar - JUDGE FAHEY: So - - - so - - CHIEF JUDGE DIFIORE: Does the - - - does the - -

JUDGE FAHEY: I'm sorry, Judge - - -

CHIEF JUDGE DIFIORE: Excuse me.

JUDGE FAHEY: - - - you go ahead.

CHIEF JUDGE DIFIORE: Does the language that the court used after - - I'll read the whole sentence. "As to the four separate bench conferences, the defendants remained at the counsel table with the prosecutors and defense attorneys present." Is that still the intention of each of the clients? Is that - - how does that language impact - - -

MR. SHIFFRIN: I think the reasonable reading - -



## CHIEF JUDGE DIFIORE: - - - the - - -

MR. SHIFFRIN: - - - of - - - of that language is a - - is the court is asking prospectively, are you still intending to stay seated. Given the fact that it - - - it's undisputed that Mr. Wilkins was not informed before - - before this - - - these - - - these four sentences by the judge, and before the D.A. pointed out the error, that he had a right to be present, that - - - that language cannot be reasonably read as requesting of Mr. Wilkins what - - what his decision was with respect to the conferences already conducted.

The - - - it - - - it was easy. The court was asked by the prosecutor, please inform Mr. Wilkins of his right - - - or the two defendants, actually, of their rights and seek a waiver. Rather than do that, rather than seek and clearly inform them they - - - they don't - - - there was a violation of a right already occurred, give them the options, and ask for a decision, the - - - the court engaged in that - - - what I - - - I'd refer to as vague language. The Fourth Department, in reversing the codefendant's case, quoted vague - - - quoted vague language.

To - - - to find an inferred waiver based on that is very, very - - - is very different that Spotford where, again, there's an express invitation for an objection. The



only other case I found with an implied waiver of the right to be present is - - - is Flynn, where, again, Mr. Flynn was in - - - was told he was welcome to attend conferences, and he chose not to attend. He was a - - - he was made aware of his option and - - - and - - - and - - - and his action reflected a decision.

JUDGE SINGAS: Counselor, I understand that it was easy, and it should have been easy, but that's not the situation we have here. So now we have a situation where the juror is still in the box; in fact, the juror is questioned after the Antommarchi, right. There hadn't - - - there - - - there - - - they're questioning her, they're engaging her. She's in the box; she hasn't left the threshold of the courtroom. Had she left, I would agree with you. But now she's there, subject to questioning, subject to putting things on the record, subject to go - - to going back to sidebar. Does that alter your analysis at all?

MR. SHIFFRIN: It - - - it doesn't for a few different reasons. First of all, again, Mr. Wilkins was never advised of his personal - - - his personal right to engage with respect to - - - we'll refer now to Juror C.K. (ph.).

More importantly, he wasn't present to observe the demeanor and - - - and actual tone, et cetera, of - - -



of C.K. with - - - during that sidebar conference, he wasn't - - - he was excluded from. This Court has repeatedly - - - and there's cases cited in our brief at pages 15 and 16 that repeatedly talked about the importance of the personal observation of the - - - the defendant.

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He was deprived of that. He was deprived of that, again, through no fault of his. He wasn't informed by the court. This Court, through the Antommarchi line of cases has made - - - has made it the court's responsibility, not counsel's responsibility, to - - - to make sure a defendant is present unless there's a waiver. That didn't happen here.

JUDGE SINGAS: No, I - - - I under - - - I understand that. My only concern again, and what I'm struggling with, is the fact that she was still there. The defendant would then have an opportunity to gauge, you know, her - - - her - - - her disposition, to hear her. He's aware now of Antommarchi. His attorney is aware of it. The attorney is actually questioning her when she's in the box. She hasn't crossed that threshold outside the courtroom.

You know, are we to say that that doesn't - - that to sit silently is something that we condone once all
the factors are present to make a change in - - - in the
situation? Under these facts. I understand what you're



saying generally, but - - -

MR. SHIFFRIN: The - - -

JUDGE SINGAS: - - - in this situation, when she's still there - -

MR. SHIFFRIN: This - - - first of all, this defendant doesn't know he'd even - - - he - - - the defendant, Mr. Wilkins, doesn't know he's allowed to do anything. He's never told, you can speak. He's never asked his opinion, et cetera. So there's no gamesmanship on his part because he doesn't know that there's a Antommarchi rule that - - - that's reviewable absent objection, absent showing of specific prejudice. So the personal right of the defendant, which is what Antommarchi's about, clearly there was no gamesmanship by him.

If - - - but in terms of the knowledge, the court presumably knew all that. In this case, not just presumably, was told this by the prosecutor. So there's no reason to find cunning actions by - - - by counsel, and yet naivete by the court. Having been told by the prosecutor, gee, you should tell him - - - basically, we screwed up. He's - - - can you tell him his right and seek a waiver. The court did not do that, did not clearly explain there was a violation. The court did not clearly seek a retroactive waiver.



The onus on - - - on - - - with respect to

Antommarchi has - - - has - - - for all these years, has

been on the court, not on defense counsel. That's why the

no preservation requirement. Rather than now,

retroactively, coming - - - rather than now creating a new

objection requirement and applying without notice

retroactively to a case that was tried four years ago, the

simple rule should be, we have a clear rule with

Antommarchi. It's easy to follow, we know that because

there's only been eight Antommarchi violation on reversals

this century, okay, and including that of the codefendant

in this case.

If - - - if in a rare case that a court is made

aware that it made a mistake, the - - - the court should

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If - - - if in a rare case that a court is made aware that it made a mistake, the - - - the court should then be obligated to correct itself. It should not be an obligation on the defendant or counsel. Counsel, in reasonable reliance on this Court's jurisprudence, that he has no obligation or duty to object. To - - - to impose an obligation on - - - on the counsel to reject - - - to object, when we've been told through many, many cases there is no such obligation, is fundamentally unfair to Mr. Wilkins.

The - - - there was - - - there was reason to be able to rely on this Court and this Court's prior rulings.

CHIEF JUDGE DIFIORE: Thank you, counsel.



## Counsel?

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MR. MYLES: Good afternoon, Your Honors. May it please the Court.

Your Honors, it's well-settled law that a defendant may waive their Antommarchi rights. And that is precisely what happened in this case.

Going to appellant counsel's last point, the

People are not seeking to impose an obligation on a

defendant to affirmatively assert their Antommarchi rights.

Again, case law's very clear, there is no affirmative

obligation to do that.

What the People are - - are asserting under the facts of this case is that when a defendant does take actions, those actions can constitute an implied waiver of their Antommarchi rights. And in fact, going to Judge Fahey's point, when you really consider Antommarchi waivers, every Antommarchi waiver is a combination of an explicit waiver and an implied waiver, which is no different than what happened in this case.

JUDGE FAHEY: You know what I wonder about, is - is - - it's Mr. Myles, right?

MR. MYLES: Yes.

JUDGE FAHEY: Yeah, Mr. - - - Mr. Myles, is - - would you see any difference between your argument for an implied waiver under these circumstances - - - because



there are circumstances in the Antommarchi jurisprudence 1 2 where an implied waiver is - - - is allowed. But under 3 these circumstances, is there any difference between this 4 type of an implied waiver and a preservation rule requiring 5 you to object? Whether you know about it or not, sometimes 6 things have to be preserved and you have to object. Here, 7 you're saying, whether you know or not, it seems you're 8 saying you have to object, which is just another way of 9 saying you got to preserve the objection. 10 MR. MYLES: I - - - I understand your point, Your Honor. However - - -11 12 JUDGE FAHEY: Um-hum. 13 MR. MYLES: - - - there - - - it is a fine 14 distinction, but it is a distinction. We are - - -

MR. MYLES: Yes.

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JUDGE FAHEY: - - - as - - - as you see it.

JUDGE FAHEY: Tell me what the distinction is - -

MR. MYLES: Yes, Judge. Had the defendant done nothing when it was brought to his attention that the - - - that Antommarchi had not taken place, that the Antommarchi waiver had not taken place, had he done nothing, there would be an Antommarchi violation.

JUDGE FAHEY: Um-hum.

MR. MYLES: But by taking affirmative actions, by



stating that he wished to continue not going up to the 1 2 bench, that he - - - that he understood his Antommarchi 3 rights at that point - - -4 JUDGE FAHEY: But - - - but he didn't really say 5 that, that he understood his Antommarchi rights? 6 MR. MYLES: Fair enough, Your Honor. Yes - - -7 JUDGE FAHEY: Right. MR. MYLES: - - - he didn't explicitly state he 8 9 understood his rights. But he did assert that he wished to 10 continue as he had been - - -11 JUDGE FAHEY: You know what's an interesting 12 thing? These are essentially fundamental constitutional 13 rights and it - - - it seems that your - - - your analysis 14 would - - - would rely on a really subtle and thinly sliced 15 reading of - - - of the - - - conversation that took place 16 between the defendant and the bench. And I'm wondering if 17 - - - if you think it makes sense for us to rely on that -18 - that kind of a reading and preserving sort of a basic -19 - - not sort of, a basic constitutional right? 20 MR. MYLES: Well, Your Honor, again, it's - - -2.1 it's clear that the basic constitutional right is - - - is 2.2 a waivable right. And it is one which is waived in the 23 majority of trials. 24 JUDGE FAHEY: Sure.

MR. MYLES: And most cases that make it to this

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1	courtroom rely on a fine parsing of the facts of the case,
2	as it relates to established law. So not to
3	JUDGE GARCIA: Counsel, I'm I'm sorry to
4	interrupt you, but
5	MR. MYLES: Yes.
6	JUDGE GARCIA: just on this waiver issue
7	and the constitutional right, I thought we said in Vargas
8	that significantly, the right to be present at sidebars is
9	not rooted in the Constitution, but rather in CPL 260.20?
10	MR. MYLES: 260.20, yes, Your Honor.
11	JUDGE GARCIA: So are we talking about a
12	constitutional waiver here or are we talking about a waive
13	of 260.20?
14	MR. MYLES: There there are case
15	there are cases which discuss it in both contexts.
16	JUDGE GARCIA: But Vargas was a waiver case,
17	wasn't it?
18	MR. MYLES: It was it was, Your Honor. I
19	think I think People I believe it was People v
20	Roman which made the distinction that the right to be
21	present at sidebar conferences, while the sidebar
22	conferences do represent material stages of trial, but it
23	is not a core function of the trial; it's an ancillary
24	proceeding.

So in that sense, I would agree with you and - -

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- and the Vargas court, which stated that it is a statutory right. And again, in that sense, I believe you have to look in each individual case where there is a waiver, you need to look at all of the circumstances. And again, this is a very unique case. The - - - the circumstances here are as far as I'm aware, a case of first impression, where the - - -

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JUDGE WILSON: So - - - let me - - - let me - - 
MR. MYLES: - - - specific facts - - -

JUDGE WILSON: - - - let me ask you something.

So the Appellate Division, as I read it, decided the issue regarding Juror C.K. on the ground that the trial court had split the preemptory strikes. And that's the basis of the dissent in the Appellate Division, from Justice Curran, as well. They didn't decide on waiver. And you're now asking us to decide on waiver. Why doesn't LaFontaine prevent us from doing that?

MR. MYLES: Because the Appellate Division never touched on that - - - there - - - that was argued below at the Appellate Division, and they never addressed that in their decision. They never addressed that, either agreeing with it or disagreeing with it. They didn't - - - they didn't address it either way. They said - - - they simply decided the issue on the grounds that the defendant could not have had any input at that sidebar, and so there was no

1	violation. And a little
2	JUDGE WILSON: But then you're asking us to
3	affirm on a different ground than the Appellate Division
4	affirmed?
5	MR. MYLES: That's correct.
6	JUDGE FAHEY: Was was there ever any
7	attempt in this trial to reconstruct a sidebar conference,
8	the sidebar conference?
9	MR. MYLES: No, I don't believe there was, Your
10	Honor.
11	JUDGE FAHEY: Um-hum.
12	MR. MYLES: There was never any any request
13	for that type of remedial action by either party. And
14	going back, again, to just the general world of Antommarchi
15	waivers.
16	JUDGE FAHEY: Um-hum.
17	MR. MYLES: Every Antommarchi waiver
18	rather, the typical Antommarchi waiver is an explicit
19	verbal waiver at the beginning of trial. However
20	JUDGE FAHEY: But you can impliedly, by action, a
21	waiver waive your Antommarchi rights. Of course,
22	that's when you know that you have that right?
23	MR. MYLES: Correct, Your Honor. And which
24	is why
25	JUDGE FAHEY: You you wouldn't disagree

1	that he didn't know at that point that he had that right -
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3	MR. MYLES: At
4	JUDGE FAHEY: no one had told him that?
5	MR. MYLES: At which point, Your Honor?
6	JUDGE FAHEY: Well, at at the point that -
7	the language that was quoted by defense counsel before,
8	the reading of that language itself. Is there any proof in
9	the record that says he knew that he had an Antommarchi
10	right then?
11	MR. MYLES: Prior to the point where the court
12	informed him of his rights, no, there's no indication that
13	he did
14	JUDGE FAHEY: Okay.
15	MR. MYLES: which is why we need to look at
16	his actions at that point and going forward from that
17	point.
18	JUDGE FAHEY: Well, it's I'm sorry, go
19	ahead, Counselor.
20	JUDGE RIVERA: So Counsel, I I'm sorry, I'm
21	on the screen.
22	CHIEF JUDGE DIFIORE: Judge Rivera.
23	JUDGE RIVERA: Let me ask you this, if if
24	indeed the judge if if the record was clear



that the judge said moving forward, you can come up to the

sidebar, you have this right, you would agree then that he has not implicitly waived the prior right or otherwise somehow abandoned his objection to the prior violation, correct, because the court is focusing prospectively? You would agree if it's obvious that the court is informing a defendant about a prospective right, that one shouldn't take any - - any statement or action to refer to a retroactive waiver? Or do you see it differently?

MR. MYLES: If the only thing we had to go on was the language of a court making it clear that it was a --- it was a prospective-only right ---

JUDGE RIVERA: Yes.

MR. MYLES: - - - if that was all we had to go on, then yes, I think we would be constrained to agree that that could not in any way be considered an implied retroactive waiver. However - - -

JUDGE RIVERA: Okay, so then if that's the case, if the record is ambiguous and unclear, why wouldn't we read it as not a waiver?

MR. MYLES: Because there is more that we have to go on than just the judge's words. However, the judge's words are indicative of, again, that language of, do you wish to continue what you have been doing, do you wish to continue not coming up. That indicates that they are looking backwards in time. And the defendant's words and



actions going forward from that point indicate that the - -- that there was no - - - there was no - - - excuse me, that there was a waiver for the sidebars that had already taken place. So we do not just have the judge's words in this case. We have the judge's words, the defendant's words, and the defendant's actions. And I - - - I see my time - - -JUDGE RIVERA: Well, the defendant's words are that I'm going to take it one case at a time. It's not a blanket, yes, moving forward, I defer to my lawyer, right? 

MR. MYLES: That's correct, Your Honor. However, which is why I stated that you have to look at not only his words, but also his actions, and the fact that he did, in fact, stay seated for multiple sidebars going forward from that point.

Finally, Your Honors, should the Court find that there was not an implied retroactive waiver, it would be the People's position that this is precisely the kind of de minimis violation that the Court recognized in People v.

Roman does not require reversal.

Thank you.

CHIEF JUDGE DIFIORE: Thank you, Counsel.
Counsel, your rebuttal?

MR. SHIFFRIN: Very quickly. First, the statutory right involved here has been referred to



repeatedly by this Court as fundamental and personal, which is why this Court has repeatedly held that it - - - that no - - no objection is - - - is required.

The second, and sort of following up with the questions from Judge Rivera, the problem here is two - - - twofold. One, there was the initial violation of my client's rights. But when the court was informed of that, it's - - the problem is the failure of the court to make clear to the defendant both that his rights were violated and what his options were. He was never - - and not - - having not been told of his options, he wasn't asked to select among those options.

To now effectively say, well, you didn't do anything, even though you weren't clearly told you could do something, is to in effect reward a court for - - - for - - for repeated violations of the right to be present.

The Roman language just cited by - - - by - - by the prosecution, refers to cases as de minimis when the
defendant's presence could not have made a difference. The
defendant's presence could have made a difference here with
respect to both jurors - - - prospective jurors, C.K. and
C.O. C.K. was excused because of a preemptory challenge
announced by codefendant's counsel there under the statute
that's effectively the - - announced by - - by both
counsel. There's no - - nothing in the record that shows

anything other than the statute was being followed here.

The - - - the two defendants and their counsel met together after each round of questioning. The - - - in fact, the court said you have two of twenty preempts.

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The opposite is the absurd result that we - - that we actually currently have where the codefendant's
conviction was reversed because his - - his attorney got
to announce the - - - the preempt and - - - and Mr.
Wilkins' attorney didn't. And the codefendant's attorney
was able to exercise eleven of the twenty preempts because
he - - because he went first. That - - that isn't the
law, and it's not what happened in this case.

With respect to C.O., C.O. was re - - - was removed not for cause on consent, but on consent. In both People v. Danielson and People v. Roman, this Court has reversed on Antommarchi grounds when jurors have been removed on consent. In Roman, this Court made the distinction and - - and the jurors who were removed for cause on consent, it was - - - there's no input possible for the defendant, but for the jurors who were removed in - - in Roman for - - just on consent was reversible error.

Over here, C.O. expressed concerns with respect to the Greece Police Department, a police department not involved in any way or shape in this case. She was never



1 asked whether she could be - - - put those aside in 2 rendering a verdict in this case. She was never - - -3 there was nothing about her answers demonstrating that she 4 was incapable of rendering a fair and impartial verdict in 5 this case. Therefore, she would not have qualified for - -6 - for a for cause challenge if one had been made. 7 removed on consent. Both attorneys - - - both defense 8 attorneys expressed their consent prior to the - - - prior 9 to the court removing her. 10 The - - so C.O., who was removed, by the way, 11 in answer - - - follow-up to a question from Judge Singas -12 - - removed prior to any discussion at all of Antommarchi. 13 There was also a - - - a - - - a juror who was questioned

The - - -

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CHIEF JUDGE DIFIORE: Counsel, would the error have - - Judge DiFiore.

outside Mr. Wilkins' presence - - - presence, who - - - Mr.

Wilkins' presence could - - - could have made a difference.

MR. SHIFFRIN: I'm sorry.

CHIEF JUDGE DIFIORE: Would the error have been cured had the trial judge invited Juror C.K. back to the sidebar, included the defendants, and their counsel; would that have cured the error with respect to this defendant?

MR. SHIFFRIN: With respect to C.K, yes.

CHIEF JUDGE DIFIORE: And recreated the - - -



that would have cured it, okay.

MR. SHIFFRIN: With respect to C.K., there's no question the case law allows for de novo conferences. I - - I - - again, we - - - we urge that C - - - C.O. also was in the same category. But in any event, we - - - the - - the problem was the court didn't engage in a de novo conference, didn't grant a mistrial, and didn't clearly give the option.

And finally, before I - - - the - - - in followup to the question from Judge Wilson, I think there is a

LaFontaine problem because the - - - the - - - again, there
was no decision on waiver retroactively with no - - factfinding by the trial court in this case. There was no
finding on - - in Mr. Wilkins' case. As an aside, in the
codefendant's case, the Fourth Department affirmatively
rejected the argument of waiver, saying that - - - that
it's - - - that the record was too - - - it was too - - what the court said was too vague and prospective only
questioning couldn't support a finding of implied waiver.
And therefore, the basis for this Court to make a decision
on grounds not reached by the courts below is - - - is a
LaFontaine problem.

CHIEF JUDGE DIFIORE: Thank you, Counsel.

MR. SHIFFRIN: Thank you.

(Court is adjourned)



## CERTIFICATION

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I, Amanda M. Oliver, certify that the foregoing transcript of proceedings in the Court of Appeals of William A. Wilkins v. The People of New York, No. 35 was prepared using the required transcription equipment and is a true and accurate record of the proceedings.

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November 22, 2021

